



Section 3
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PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

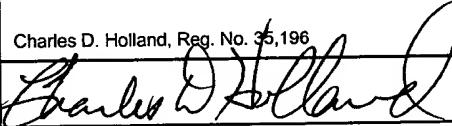
11

| | |
|----------------------|------------------|
| Application Number | 09/760,362 |
| Filing Date | January 12, 2001 |
| First Named Inventor | James C. CHEN |
| Group Art Unit | 1614 |
| Examiner Name | To Be Assigned |
| Attorney Docket No. | 398032000900 |

ENCLOSURES (check all that apply)

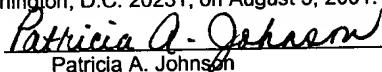
| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form (2 pages) | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input checked="" type="checkbox"/> Petition for Extension of Time (1 page) | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address (2 pages) | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Declaration (2 pages) Verified Statement Claiming Small Entity Status (1 page) Return Receipt Postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Remarks | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 (2 pages) | | |

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | |
|-------------------------------|---|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Rd., Palo Alto, CA 94304-1018 Charles D. Holland, Reg. No. 35,196 |
| Signature |  Registration No. 35,196 |
| Date | August 3, 2001 |

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on August 3, 2001.


Patricia A. Johnson

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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OFFICE FEE TRANSMITTAL FOR FY 2001

AUG 06 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$260.00

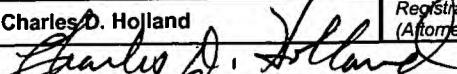
| Complete if Known | |
|----------------------|------------------|
| Application Number | 09/760,362 |
| Filing Date | January 12, 2001 |
| First Named Inventor | James C. CHEN |
| Examiner Name | To Be Assigned |
| Group Art Unit | 1614 |

| METHOD OF PAYMENT | |
|---|-------------------------|
| 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | |
| Deposit Account Number | 03-1952 |
| Deposit Account Name | Morrison & Foerster LLP |
| <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27 | |
| 2. <input type="checkbox"/> Payment Enclosed: | |
| <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | |

| FEE CALCULATION | | | | | |
|---|-----------------|----------------|-----------------|------------------------|----------------------|
| 1. BASIC FILING FEE | | | | | |
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
| 101 | 710 | 201 | 355 | Utility filing fee | <input type="text"/> |
| 106 | 320 | 206 | 160 | Design filing fee | <input type="text"/> |
| 107 | 490 | 207 | 245 | Plant filing fee | <input type="text"/> |
| 108 | 710 | 208 | 355 | Reissue filing fee | <input type="text"/> |
| 114 | 150 | 214 | 75 | Provisional filing fee | <input type="text"/> |
| SUBTOTAL (1) <input type="text" value="(\$0)"/> | | | | | |
| 2. EXTRA CLAIM FEES | | | | | |
| Total Claims | 37 | -37** = | 0 | Extra Claims | Fee from below |
| Independent Claims | 2 | -3** = | 0 | x | = \$0 |
| Multiple Dependent | | | | | |
| | | | | | = \$ |
| SUBTOTAL (2) <input type="text" value="(\$0)"/> | | | | | |

** or number previously paid, if greater; For reissues, see above.

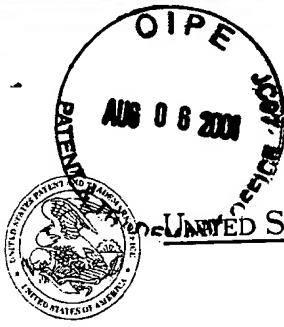
| Large Fee Code | Entity Fee (\$) | Entity Fee Code | Small Fee Code | Fee Description | Fee Description | Fee Paid | Fee Description | Fee Paid |
|--|-----------------|-----------------|----------------|-----------------|--|------------------------------------|-----------------|----------|
| 105 | 130 | 130 | 205 | 65 | Surcharge - late filing fee or oath | <input type="text" value="65.00"/> | | |
| 127 | 50 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | <input type="text"/> | | |
| 139 | 130 | 130 | 139 | 130 | Non-English specification | <input type="text"/> | | |
| 147 | 2,520 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | <input type="text"/> | | |
| 112 | 920* | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | <input type="text"/> | | |
| 113 | 1,840* | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | <input type="text"/> | | |
| 115 | 110 | 110 | 215 | 55 | Extension for reply within first month | <input type="text"/> | | |
| 116 | 390 | 390 | 216 | 195 | Extension for reply within second month | <input type="text"/> | 195.00 | |
| 117 | 890 | 890 | 217 | 445 | Extension for reply within third month | <input type="text"/> | | |
| 118 | 1,390 | 1,390 | 218 | 695 | Extension for reply within fourth month | <input type="text"/> | | |
| 128 | 1,890 | 1,890 | 228 | 945 | Extension for reply within fifth month | <input type="text"/> | | |
| 119 | 310 | 310 | 219 | 155 | Notice of Appeal | <input type="text"/> | | |
| 120 | 310 | 310 | 220 | 155 | Filing a brief in support of an appeal | <input type="text"/> | | |
| 121 | 270 | 270 | 221 | 135 | Request for oral hearing | <input type="text"/> | | |
| 138 | 1,510 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | <input type="text"/> | | |
| 140 | 110 | 110 | 240 | 55 | Petition to revive - unavoidable | <input type="text"/> | | |
| 141 | 1,240 | 1,240 | 241 | 620 | Petition to revive - unintentional | <input type="text"/> | | |
| 142 | 1,240 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | <input type="text"/> | | |
| 143 | 440 | 440 | 243 | 220 | Design issue fee | <input type="text"/> | | |
| 144 | 600 | 600 | 244 | 300 | Plant issue fee | <input type="text"/> | | |
| 122 | 130 | 130 | 122 | 130 | Petitions of the Commissioner | <input type="text"/> | | |
| 123 | 50 | 50 | 123 | 50 | Petitions related to provisional applications | <input type="text"/> | | |
| 126 | 180 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | <input type="text"/> | | |
| 581 | 40 | 581 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | <input type="text"/> | | |
| 146 | 710 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | <input type="text"/> | | |
| 149 | 710 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | <input type="text"/> | | |
| 179 | 710 | 710 | 279 | 355 | Request for Continued Examination (RCE) | <input type="text"/> | | |
| 169 | 900 | 900 | 169 | 900 | Request for expedited examination of a design application | <input type="text"/> | | |
| Other fee (specify) <input type="text"/> | | | | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | | SUBTOTAL (3) | \$260.00 | |

| SUBMITTED BY | | | | | |
|-------------------|---|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Charles D. Holland | Registration No. (Attorney/Agent) | 35,196 | Telephone | 650-813-5832 |
| Signature |  | | | Date | August 3, 2001 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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pa-604918



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

Page 1 of 2

(3)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/760,362 | 01/12/2001 | James C. Chen | 398032000900 |

CONFIRMATION NO. 1582

FORMALITIES LETTER



OC000000005928482

25226
MORRISON & FOERSTER LLP
755 PAGE MILL RD
PALO ALTO, CA 94304-1018

Date Mailed: 04/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/08/2001 BABRAHAI 00000039 031952 09760362 FILED UNDER 37 CFR 1.53(b)

02 FC:205 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));
- drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

*A copy of this notice **MUST** be returned with the reply.*


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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE